

COMPLAINTS & APPEALS Policy OFFICIAL

DOCUMENT REFERENCE:	PPP164
RESPONSIBLE COMMITTEE: CATEGORY: DATE APPROVED: DATE OF NEXT REVIEW: RELATED POLICIES AND DOCUMENTS:	SWTAFE Board Governance August 2019 August 2021 PPP140 Academic Grievance Procedure PPP141 Complaints Resolution Procedure PPP175 VSL Student Entry Procedure PPP088 Staff Grievance Resolution Guidelines

### Introduction

South West TAFE aims to resolve complaints and appeals honestly, fairly and without bias. Wherever possible, complaints and appeals will be managed internally.

ASQA, our National VET Regulator (NVR) requires the Institute to define the process that ensures current, past or prospective students, other external clients and the public who may have a complaint and appeal that requires address are managed consistently, effectively and efficiently.

In addition to these requirements, the Institute must meet requirements under the Education and Training Reform Act 2006.

#### Scope

This Policy applies to persons, businesses or agencies that engage with South West TAFE including students, employees and employers.

Employee grievance matters are not within the scope of this policy and are covered by the Staff Grievance Resolution Guidelines.

In instances where a complaint relates to a perceived activity of illegal behaviour or putting the Institute or community at risk, it is highly likely that police will be contacted.

#### Academic related complaints and appeals

South West TAFE has an Academic Grievance Procedure (*PPP140*) to deal with matters of concern related to training delivery, teacher performance, and/or course expectations. The Academic Grievance Procedure outlines the handling of complaints and appeals and is easily accessible to current, prospective and previous students, including those who are or would be entitled to VET LOAN assistance and employers of apprentices and trainees studying at the Institute.

#### Non Academic related complaints and appeals

Issues of a non-academic nature -for example, concerns about facilities, parking, and marketing practices – can be referred to the Institute for attention without lodging a formal complaint through SWTAFE's Feedback process. Where the issue constitutes a complaint, SWTAFE's Complaints Resolution Procedure will be followed.

#### **Principles:**

All complaints and appeals will:

- Have specified timelines for responses of each stage of the process;
- Allow the complainant and/or respondent to be accompanied and assisted by a third party if desired;
- State that decisions and actions are given in writing if requested by the complainant and or/respondent;
- Ensure that complainants and respondents are not victimised or discriminated against;
- Be complete, unambiguous, agreed to and ratified through delegation to an appropriate Executive Manager, by the Institute.

#### Process

The complaints and appeals management process will have:

- provision for appeal through an independent internal investigation of complaints which remain unresolved
- provision for external review of decisions made following any internal investigation; and
- consideration of any recommendations arising from the external review.

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## Reporting

The Complaints Manager will provide an annual report to the Board on the complaint and appeals received for the calendar year, identifying any trends or concerns.

## **Nominated Complaints Manager**

In the first instance, all complaints and/or concerns are lodged with the Audit Risk and Compliance Manager who is SWTAFE's nominated complaints manager. They can be contacted in writing by

- Audit Risk and Compliance Manager South West TAFE P O Box 674 WARRNAMBOOL 3280
- Or via learn@swtafe.edu.au
- Or using the "feedback button on the website www.swtafe.edu.au

